INTRODUCTION

Approximately 2.9 million babies die each year in the first 28 days after birth. Nearly the same number of stillbirths occur annually, with a significant proportion occurring during labor and delivery. Most of these deaths occur in low- and middle-income countries. Regions affected by conflict, social upheaval, or natural disasters are consistently associated with high rates of perinatal and neonatal mortality.

Basic interventions at and immediately after birth can prevent many of these deaths. These include prompt resuscitation, skin-to-skin care to maintain normal temperature, early and exclusive breastfeeding, as well as recognition of danger signs and prevention/treatment of infection.
DELIVERY AND IMMEDIATE NEONATAL CARE

OBJECTIVES

- List the elements needed to successfully carry out neonatal resuscitation, including recognition of risk factors associated with the need for neonatal resuscitation and preparation of the environment, personnel, and the equipment necessary for neonatal resuscitation.
- Identify the newborn who is making a normal transition immediately after birth.
- Recognize the newborn who requires resuscitation.
- Describe and apply effective neonatal resuscitation interventions.

Anticipation, preparation, recognition, and intervention

A successful resuscitation relies on anticipation based on prenatal and intrapartum risk factors, preparation for all deliveries, and monitoring of the fetus during labor for early detection on fetal distress (fetal heart rate monitoring), recognition of the need for resuscitation, and adequately skilled intervention.

Make an obstetrical assessment for any pregnant woman who has a fever or other illness, or who is in labor or with pre-labor rupture of membranes (PROM).

CASE.

You are delivering health care at a shelter for people displaced following an earthquake. A 15-year-old comes to the health care post. She is in labor and had spontaneous rupture of membranes 2 hours earlier. The amniotic fluid is clear. She has had only one prenatal checkup, at 5 months of pregnancy. According to the date of her last period, she is in the 39th week of gestation. Immediate assessment reveals that she is currently hypertensive, and fetal bradycardia is detected through auscultation.

- Which are the risk factors in this patient?
- Which elements are crucial to ensure adequate neonatal care?
retained products; assisted vaginal delivery; and basic neonatal resuscitation. Refer to a facility for comprehensive emergency obstetric and newborn care for Caesarean sections, blood transfusion, and care of sick and low birthweight newborns. Prevent mother-to-child transmission of HIV with appropriate administration of antiretroviral medications.

In disaster settings, ensure that all deliveries occur in facilities able to provide the basic essential obstetric care services (BEmOC) described above. Also, for complicated cases, be able to refer to a designated facility that will be ensuring Comprehensive essential obstetric care (CEmOC), which will include in addition to the services mentioned above surgery, anesthesia, and blood transfusion. In regions with a high prevalence of HIV prevent mother to child transmission of HIV (PMTCT) and provide HIV treatment for all mothers who are HIV-positive.

Anticipatory planning
Every disaster situation is likely to involve pregnant women and their newborns. Because up to 30% of newly born infants in disaster settings will require resuscitation, anticipatory planning will be fundamental for these interventions to be successful.

What personnel should be available?
If possible, notify personnel with skills in neonatal resuscitation. At least one person who is capable of initiating resuscitation should be present at each birth and immediately available to the newborn. Others who might function as part of a resuscitation team should be available as the need arises. It is important to prepare the area in which the delivery will occur, check the equipment and review the functions of personnel immediately prior to the delivery. Personnel should review the emergency plan for communication and transportation if either mother or infant needs an advanced level of care.

What maternal, fetal, and neonatal conditions might indicate a higher risk of neonatal depression?
The need for resuscitation cannot always be predicted; it must be kept in mind that prompt neonatal resuscitation might be necessary after any birth. However, some perinatal conditions associated with a need for resuscitation can be recognized in advance. Some of those conditions are shown in Box 1. Thorough assessment of the risk factors allows for the identification of more than half of the deliveries that will need neonatal resuscitation. Prospective identification of perinatal high-risk factors should prompt the transfer of the pregnant woman or the mother and her newly born infant to a CEmOC facility with enhanced care resources. Keep the mother and baby together, especially if transfer is necessary. The Integrated Management of Childhood Illness (IMCI) strategy from the Pan American Health Organization (PAHO) and the World Health Organization (WHO) includes the assessment and classification of


**BOX 1. Risk factors associated with probable need for neonatal resuscitation**

**Before delivery**
- Maternal diabetes
- Maternal hypertension
- Anemia or isoimmunization
- Previous fetal/neonatal death
- Post-term gestation
- Multiple gestation
- Polyhydramnios or oligohydramnios
- Premature (pre-labor) rupture of membranes (PROM)
- Maternal infection
- Maternal consumption of drugs or medications
- Any other maternal illness
- Diminished fetal activity
- Fetal distress observed by identification of abnormal fetal heart rate
- Known fetal malformations
- Lack of prenatal care
- Maternal age <19 or >35 years old

**During delivery**
- Labor at less than 8 completed months of pregnancy
- Rapid labor
- Emergency cesarean section or use of forceps
- Prolonged PROM
- Fetal distress (alterations in the fetal heart rate)
- Significant vaginal bleeding
- Placental abruption
- Prolonged labor according to evaluation by partogram
- Meconium-stained amniotic fluid
- Umbilical cord prolapse and tight nuchal cord
- Anticipated low birth weight
- Anticipated high birth weight

Pregnancies in order to determine the risk level and adequate treatment (Table 1). Identification of high-risk factors can also facilitate communication with the family and timely mobilization of the resuscitation and maternal health care team.

**What equipment should be available?**

Equipment for immediate care of the newborn at birth is listed in **Box 2**. Prepare the uterotonic before delivery, as well as other supplies to care for the mother. The resuscitation bag and masks should be appropriately sized for newborns and the bag should not require a pressurized gas source. It is recommended that sterile delivery kits be available. An example of the contents of the MSF recommended delivery kit is provided in **Box 2**.

For further details consult a more advanced source, such as the *Helping Babies Breathe* manual from the American Academy of Pediatrics, and 7th edition of the *Textbook of Neonatal Resuscitation* from the American Academy of Pediatrics (AAP) and the American Heart Association (AHA).

**What are the appropriate delivery procedures?**

The Action Plan (Figure 3—2nd edition HBB Action Plan) summarizes the actions in providing routine care and help to breathe. Routine care of a baby who cries spontaneously at birth includes:
- **Dry thoroughly** by rubbing with a dry cloth.
### TABLE 1. Classification to assess and determine pregnancy risk

<table>
<thead>
<tr>
<th>Assess signs</th>
<th>Classify as</th>
<th>Treatment</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>(RED) One of the following signs:</strong></td>
<td><strong>Pregnancy with imminent risk</strong></td>
<td><strong>(RED) Refer URGENTLY to hospital of higher level of complexity, lying on the left side</strong></td>
</tr>
<tr>
<td>• Labor at &lt;37 w</td>
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<td>• Prevent hypotension</td>
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<tr>
<td>• Pregnancy at &gt;41 w</td>
<td></td>
<td>• Treat hypertension</td>
</tr>
<tr>
<td>• Reduced or absent fetal movements</td>
<td></td>
<td>• In case of preterm labor: inhibit contractions and give corticoids</td>
</tr>
<tr>
<td>• Severe systemic disease</td>
<td></td>
<td>• If PROM with fever: give first dose of adequate antibiotic</td>
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<tr>
<td>• Infection with fever (UTI, bacterial or viral sepsis, chorioamnionitis, malaria)</td>
<td></td>
<td>• Administer oxygen as necessary</td>
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<tr>
<td>• Uncontrolled diabetes</td>
<td></td>
<td></td>
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<tr>
<td>• Vaginal bleeding</td>
<td></td>
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<tr>
<td>• Pre-labor rupture of membranes (PROM) &gt;12 h</td>
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<td></td>
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<tr>
<td>• Uncontrolled hypertension and/or seizures, blurred vision, loss of consciousness or intense headache</td>
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<tr>
<td>• Changes in fetal cardiac frequency (FCF)</td>
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<tr>
<td>• Intense palm pallor and/or Hb &lt;7 g/dL</td>
<td></td>
<td></td>
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<tr>
<td>• Swollen face, hands and legs</td>
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<tr>
<td><strong>(YELLOW) One of the following signs:</strong></td>
<td><strong>High-risk pregnancy</strong></td>
<td><strong>(YELLOW) Refer to specialist clinics</strong></td>
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<tr>
<td>• Less than 19 or more than 35 y of age</td>
<td></td>
<td>• If multiple gestation: refer before week 30</td>
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<tr>
<td>• Primiparity or grand multiparity</td>
<td></td>
<td>• If VDRL positive: start treatment with penicillin benzathine</td>
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<tr>
<td>• No prenatal care</td>
<td></td>
<td>• Counsel the mother to follow the indicated treatment</td>
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<tr>
<td>• Less than 2 years between pregnancies</td>
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<td>• Vaccinate with tetanus toxoid</td>
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<tr>
<td>• Uterine height does not correlate with gestational age</td>
<td></td>
<td>• Counsel on HIV-AIDS and sexually transmitted diseases (STD)</td>
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<tr>
<td>• Previous cesarean section</td>
<td></td>
<td>• Schedule next visit</td>
</tr>
<tr>
<td>• History of prematurity, low-birth weight or malformations</td>
<td></td>
<td>• Counsel on nutrition, pregnancy care, and breastfeeding</td>
</tr>
<tr>
<td>• History of recurrent abortions, fetal or early neonatal death</td>
<td></td>
<td>• Teach danger signs</td>
</tr>
<tr>
<td>• Controlled systemic disease</td>
<td></td>
<td>• Plan referral with the family in advance, according to risk factors and feasibility of the solutions</td>
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<tr>
<td>• Urinary infection without fever</td>
<td></td>
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<tr>
<td>• Controlled diabetes</td>
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</tr>
<tr>
<td>• Palm pallor and/or Hb 8-10 g/dL</td>
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<td></td>
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<tr>
<td>• Vaginal discharge</td>
<td></td>
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<tr>
<td>• On teratogenic medications</td>
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<tr>
<td>• Alcoholism, drug-addiction or smoker</td>
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<tr>
<td>• Controlled hypertension</td>
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<tr>
<td>• Inadequate weight gain</td>
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<tr>
<td>• Abnormal fetal presentation</td>
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<tr>
<td>• Multiple gestation</td>
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<tr>
<td>• Rh negative mother</td>
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<tr>
<td>• VDRL, HIV or HBV positive</td>
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<td></td>
</tr>
<tr>
<td>• Pregnancy with no immediate or high risk</td>
<td><strong>Low-risk pregnancy</strong></td>
<td><strong>(GREEN) Teach danger signs</strong></td>
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<tr>
<td></td>
<td></td>
<td>• Plan delivery in the health care facility with the family</td>
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<tr>
<td></td>
<td></td>
<td>• Follow-up during pregnancy</td>
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<tr>
<td></td>
<td></td>
<td>• Offer counselling on nutrition, prenatal care, postpartum, breastfeeding, and vaccinations for the infant</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Offer counselling on HIV-AIDS-STD</td>
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<tr>
<td></td>
<td></td>
<td>• Tell the mother to follow the prescribed treatment</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Supplement with iron, folic acid, and multivitamins</td>
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<tr>
<td></td>
<td></td>
<td>• Start or complete tetanus vaccination with tetanus toxoid</td>
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</tbody>
</table>

Keep warm by positioning the baby skin-to-skin with mother, covering with a dry cloth and a head covering.

Check breathing by listening for grunting or other abnormal sounds, looking for chest indrawing or cyanosis, and feeling chest movement to detect rapid breathing.

Clamp and cut the cord after 1 to 3 minutes. Apply clamps or cord ties 2 and 5 finger breadths from the abdomen. Use a sterile blade or scissors to cut the cord.

Encourage initiation of breastfeeding in the first hour after birth. Utilize appropriate personal protection; personnel should use sterile gloves to the extent possible.

Everyone present at the delivery should perform hand hygiene. Remember to perform adequate identification procedures for the newborn (take the infant’s footprints in a form together with the mother’s fingerprint and provide the newborn with an identification bracelet, if available). This issue takes on added importance in situations of administrative disorder, as is usually the case in acute humanitarian emergencies.

Provide the child with an environment as warm as possible. It is essential to dry the infant immediately. Leaving the baby wet may result in cold stress. Early skin-to-skin contact with the mother has been shown to be effective and desirable. Immediate breastfeeding following delivery is advisable for healthy infants. Even if the newborn requires resuscitation...
and ongoing care, the parents should have at least brief contact and be fully informed of care.

**Recognition**
The evaluation question “is the baby crying?” identifies the baby who needs help to breathe. An infant who is gasping, breathing shallowly, or not breathing at all requires help to breathe. Quick action increases the chance of a good response. The Golden Minute emphasizes that a baby who is not crying after thorough drying should be breathing or receiving positive-pressure ventilation by one minute after birth.

- **Is the baby breathing or crying?** Absent respiratory effort (apnea) or inadequate respiratory effort (gasing; breathing with superficial and ineffective inspiratory movements) is the first reason to initiate resuscitation.
- **Is there good muscle tone?** Poor muscle tone might indicate hypoxemia. Preterm newborns normally have a lower muscle tone than term babies. Term infants with good respiratory effort and muscle tone can be dried and placed over the mother’s body for better thermal protection and suckling under continued observation.

**Resuscitation treatment**
The sequence of neonatal resuscitation for the baby who is not crying begins with thermal protection, proper positioning of the newborn, and brief stimulation.

- **Thermal protection.** Dry the baby rapidly to reduce evaporation. Place the baby skin-to-skin with the mother after drying. A radiant heater can be used if resuscitation interventions are required. If a warming device is used, hyperthermia must be avoided. Wrapping a very preterm infant in clear food-grade plastic film is effective in reducing cold stress while allowing access to the infant. Cover the baby’s head with a cap. A sick baby who needs to be transported can be protected from cold by placing an exothermic chemical mattress under a blanket, skin-to-skin contact with an adult, or swaddling in warm blankets covered by a windproof, reflective outer layer. Heating pads, hot water bottles, and surgical gloves filled with hot water should be avoided because they can cause extensive burns.
- **Position.** The airway of the hypotonic baby is vulnerable to obstruction with flexion or extension of the neck. Position the infant on the back or side, with the head slightly extended in the “sniffing” position (Figure 1).
- **Airway clearing.** Remove secretions that obstruct the airway by wiping the nose and mouth with a cloth or by using a suction device. When there are copious secretions, suction the mouth before the nose (Figure 2). Suction must be brief, gentle and not very deep. Suctioning that is too vigorous, too deep, or too prolonged can cause damage, apnea, and bradycardia through vagal stimulation. The
The presence of meconium in the amniotic fluid can be a sign of fetal distress. Pharyngeal suctioning during birth has not been demonstrated to reduce the incidence of meconium aspiration syndrome. If meconium is present in the amniotic fluid, clear the airway before providing positive-pressure ventilation.

- **Stimulation.** Drying an infant thoroughly generally provides sufficient stimulation of breathing in a healthy newborn. Additional stimulation—flicking the soles of the feet or rubbing the back, for example—may encourage the initial respiratory effort and continued breathing during the early transitional period if needed. Vigorous or prolonged stimulation may harm to the baby and is not part of skillful resuscitation.
If supplemental oxygen is available, when is it indicated?
A number of studies have demonstrated that for most neonatal resuscitations requiring positive-pressure ventilation, room air is as effective as 100% oxygen. Data also indicate that in the first several minutes after birth cyanosis is common in babies who have normal outcomes.

The 2016 AAP Neonatal Resuscitation Program update includes the following recommendations for oxygen supply during resuscitation:

Room air to initiate positive-pressure ventilation in term infants and blended oxygen concentrations (21–30%) for initiation of PPV in very preterm infants.

Oximetry when:
- Resuscitation can be anticipated
- Positive pressure is administered for more than a few breaths
- Cyanosis is persistent
- Supplemental oxygen is administered

Targets for oxygen saturation correspond to preductal saturations of healthy term babies in the first minutes after vaginal birth at sea level.

These initial steps and possible subsequent actions are outlined in the flow diagram shown in Figure 3. Further steps in resuscitation are discussed in the following paragraphs.

Additional neonatal resuscitation procedures

Ventilation
Poor respiratory effort, as manifested by apnea or gasping (deep, intermittent, slow, spasmodic inspiratory efforts), is the major indication to initiate neonatal resuscitation, and ventilation is the key to successful resuscitation. If the newborn does not rapidly establish effective spontaneous respiration, positive-pressure ventilation must be administered immediately.

What are the elements of positive-pressure ventilation?
The goal of positive-pressure ventilation is to inflate the lungs with an adequate breath. Inspirations that are too small will be ineffective for those in most need, and inspirations that are too large can damage the lungs. The effectiveness of ventilation can be judged as outlined in Box 3.

Many kinds of devices deliver positive-pressure ventilation for neonatal resuscitation. Flow-inflating bags, self-inflating bags, T-piece devices, one-way valve masks, and laryngeal masks are some of these devices. Most critical is the skill of the person who is operating any of these devices. Potential resuscitators should review the operation of the available devices, practice
FIGURE 3. Helping Babies Breathe Action Plan
mock resuscitations, and test the operation of all bags, valves, connections, and safety features. Figure 4 illustrates the use of a self-inflating bag with a mask. The head is slightly extended. The mask covers the mouth and the nose. The fingers of the left hand lift the chin forward and upward and partially encircle the mask, placing light and even pressure downward onto the face to help create an adequate seal. The best indication of adequate lung inflation is the improvement in heart rate, color, and muscle tone.

How is positive pressure delivered?
A good seal with the mask and good positioning are essential. The recommended ventilation rate is 40 to 60 breaths per minute as illustrated in Figure 5.

What if bag and mask ventilation is not effective?
If the patient is not improving, the most frequent cause is poor delivery of positive pressure. Failure to administer adequate positive pressure may be due to one of three common problems:

- An inadequate seal of the mask to the face:
  - Reapply the mask to the face and lift the jaw up towards the mask.

- A blocked airway:
  - Reposition the head to regain slight extension.
  - Remove secretions in the nose and the mouth.
- Slightly open the mouth and continue positive-pressure ventilation.
- Need for larger breath:
  - Increase the inflation pressure to achieve a slight rise and fall in the chest with each breath

What if the requirement for bag and mask ventilation is prolonged? The inflations might distend the stomach and interfere with ventilation. In this case, insert a small plastic or rubber catheter through the mouth, aspirate the stomach contents, and fix the open end of the tube to allow continuous drainage.

Ask an assistant to check the heart rate during positive-pressure ventilation or pause ventilation after 1 minute to check the heart rate if you are alone. The normal heart rate is greater than 100 beats per minute. The pulse at this time can be felt easiest at the base of the umbilical cord or can be heard with a stethoscope over the left side of the chest.

Neonatal resuscitation in the setting of an emergency or disaster is focused on providing effective ventilation. If the heart rate stays below 60 beats per minute even after improving positive-pressure ventilation by the steps above, advanced resuscitation may be indicated. Tracheal intubation, chest compressions, and medication administration can be provided when personnel and capability for acute and supportive care exist. For this reason, antenatal transport of preterm or high-risk term pregnancies to a center providing comprehensive emergency obstetric and neonatal care is preferable.

Other common questions regarding neonatal resuscitation
How quickly should resuscitation be started?
Prompt resuscitation is the most effective. If the infant is apneic, gasping, or breathing ineffectively after drying, clearing the airway, and providing
If a baby does not respond to resuscitation, how long should the procedures be continued? Consider stopping resuscitation if the infant has no heart rate or a heart rate below 60 beats per minute after 10 minutes of positive-pressure ventilation with all steps to improve positive-pressure ventilation. If the infant has a heart rate above 60 beats per minute, but does not breathe spontaneously, ventilation can be continued while seeking advanced care.

**Post-resuscitation management**

The child must be thoroughly monitored and evaluated in the hours and days following resuscitation. Consider arrangements for advanced care for any of the following:
- Birth weight <1500 g
- Difficult breathing, cyanosis, apnea
- High or low temperature (normal axillary temperature: 36.5–37.5°C), seizures, poor responsiveness, poor feeding, persistent alterations of muscle tone, or severe jaundice.

Record details of resuscitation procedures and results as part of a permanent document for the child.
SUMMARY

When treating newborns, good hand hygiene must be observed, and babies must be protected from contamination.

For all newly born infants the first step is to ensure thermal protection and dry the infant thoroughly; next, position the head, clear the airway as necessary, and stimulate the breathing child. Evaluate breathing. If apnea, gasping, or inadequate breathing is observed, give positive-pressure ventilation and mobilize additional resuscitation team members. The child is evaluated again after 1 minute of positive-pressure ventilation and steps to improve ventilation (if necessary). If apneic, support is continued. When heart rate is >100 beats per minute and spontaneous respirations are adequate, positive-pressure ventilation is discontinued.

Communication with and emotional support for the mother is of high priority. Mothers and babies should be kept together, if at all possible. Infants with ongoing problems or high-risk conditions should be referred to a higher level of care as appropriate.

SUGGESTED READING


Case resolution

In this case, several risk factors are associated with the need for neonatal resuscitation: patient’s age, inadequate prenatal care, maternal hypertension, and alterations in fetal cardiac frequency. A newborn exhibiting these risk factors is likely to need advanced resuscitation procedures. Ideally, refer the patient to a high-complexity mother-child care center. If that is not possible, it would be preferable to rely upon trained personnel and adequate equipment for an advanced resuscitation. It would be important to transport the child to a neonatal specialized center immediately after initial resuscitation for further care.
1. What are the key steps for a successful resuscitation?
2. What equipment and supplies are needed to perform neonatal resuscitation?
3. What maternal, obstetric, and fetal (or neonatal) factors indicate a high probability of needing advanced neonatal resuscitation?
4. What are the initial steps in resuscitation of the newborn?
5. When and how should positive-pressure ventilation be administered to the newborn?
6. What are the indications for oxygen administration and how should it be administered?
7. What signs are used to gauge the need for advanced neonatal resuscitation?